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From-COGNIS CORP., PATENT DEPT.

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T-936 P.001/007 F-019

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300 BROOKSIDE AVENUE  
AMBLER, PA 19002

DATE: February 9, 2005

**TO:**

Name: OFFICE OF INITIAL EXAMINATION'S  
FILING RECEIPT CORRECTIONS

**FROM:**

Name: Rose Stowe  
For: Aaron R. Ettelman, Esq.  
Patent Department

FAX NO: (703) 746-9195

NUMBER OF PAGES 7 INCLUDING THIS COVER PAGE.

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RE: Our Case C 2319 PCT/US (Serial No. 10/501,623)

Please find attached a "Request For Correction Of Filing Receipt" in the above-named case.

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I hereby certify that this paper is being facsimile transmitted to the Patent and Trademark Office on the date shown below.

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Signature of certifier

Rose A. Stowe

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PATENT

Docket No. C 2319 PCT/US

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re: Application of  
Moussou, et al.

Serial No. 10/501,623

Examiner: Unknown

Filed: 07/15/2004

Art Unit: 1615

TITLE: ACTIVE SUBSTANCES FOR USE IN COSMETIC AND/OR  
PHARMACEUTICAL PRODUCTS, OBTAINABLE FROM THE FER-  
MENTATION OF PLANT COMPONENTS AND/OR PLANT EXTRACTS

REQUEST FOR CORRECTION OF FILING RECEIPT

Office Of Initial Patent Examinations  
Customer Service Center  
Commissioner of Patents and Trademarks  
P. O. Box 1450  
Alexandria, VA 22313-1450

February 9, 2005

Sir:


We hereby request correction of the Filing Receipt for Serial No. 10/501,623.

The SECOND INVENTOR'S city of residence in the above-referenced application should be corrected to read as follows:

LOUIS DANOUX, SAULXURES LES NANCY, FRANCE

We thank you for your assistance in this matter.

Respectfully submitted,

  
Aaron R. Ettelman  
(Reg. No. 42,516)  
Attorney for Applicant  
(215) 628-1413

Cognis Corporation, Patent Dept.  
300 Brookside Avenue  
Ambler, PA 19002

Enclosures:

- 1) Filing Receipt (copy)
- 2) Executed Declaration (copy)

*ARE/RS*

## UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE  
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APPL NO.	FILING OR 371 (c) DATE	ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLMS	IND CLMS
10/501,623	07/15/2004	1615	920	C 2319 PCT/US		20	2

CONFIRMATION NO. 2222

23657

COGNIS CORPORATION  
 PATENT DEPARTMENT  
 300 BROOKSIDE AVENUE  
 AMBLER, PA 19002

## FILING RECEIPT



\*OC000000014859819\*

*No Marketing*

Date Mailed: 01/03/2005

Receipt is acknowledged of this regular Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Filing Receipt Corrections, facsimile number 703-746-9195. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

## Applicant(s)

X

*2*  
 Philippe Moussou, Nancy, FRANCE;  
 Louis Danoux, Saucures Les Nancy, FRANCE;  
 Gilles Pauly, Nancy, FRANCE;

## Power of Attorney:

Aaron Ettelman-42516

## Domestic Priority data as claimed by applicant

This application is a 371 of PCT/EP03/00066 01/07/2003

## Foreign Applications

FRANCE 02/00423 01/15/2002

Projected Publication Date: 04/07/2005

Non-Publication Request: No

Early Publication Request: No

RECEIVED COGNIS LAW DEPT  
 ACTION \_\_\_\_\_ INFO \_\_\_\_\_

JAN 10 2005 *me*

DUE \_\_\_\_\_  
 FILE C 2319

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 CPI DATABASE

**Title**

Active substances for use in cosmetic and/or pharmaceutical products, obtainable from the fermentation of plant components and/or plant extracts

**Preliminary Class**

514

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Title 35, United States Code, Section 184  
Title 37, Code of Federal Regulations, 5.11 & 5.15**

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PTO/SB/01 (6-95)

Approved for use through: 10/31/98 OMB 0851-0032

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Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

0010/PTD Rev. 6/85		U.S. Department of Commerce Patent and Trademark Office		Attorney Docket Number	C 2319 PCT/US
<b>DECLARATION FOR UTILITY OR DESIGN PATENT APPLICATION</b>		First Named Inventor		MOUSSOU, Philippe	
		COMPLETE IF KNOWN			
		Application Number			
		Filing Date			
		Group Art Unit			
<input type="checkbox"/> Declaration Submitted with Initial Filing         OR <input checked="" type="checkbox"/> Declaration Submitted after Initial Filing		Examiner Name			

As a below named inventor, I hereby declare that:  
My residence, post office address, and citizenship are as stated below next to my name.  
I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled:

**ACTIVE SUBSTANCES FOR USE IN COSMETIC AND/OR PHARMACEUTICAL PRODUCTS,  
OBTAINABLE FROM THE FERMENTATION OF PLANT COMPONENTS AND/OR PLANT  
EXTRACTS**

(Title of the invention)

the specification of which  
☐ is attached hereto  
 OR  
☒ was filed on (MM/DD/YYYY) 01/07/2003 as United States Application Number or PCT International  
 Application Number PCT/EP03/00066 and was amended on (MM/DD/YYYY)                      (if applicable).

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment specifically referred to above.

I acknowledge the duty to disclose information which is material to patentability as defined in Title 37 Code of Federal Regulations, § 1.56.

I hereby claim foreign priority benefits under Title 35, United States Code §110(a)-(d) or §365(b) of any foreign application(s) for patent or inventor's certificate, or §365(a) of any PCT international application which designated at least one country other than the United States of America, listed below and have also identified below, by checking the box, any foreign application for patent or inventor's certificate, or of any PCT international application having a filing date before that of the application on which priority is claimed.

Prior Foreign Application Number(s)	Country	Foreign Filing Date (MM/DD/YYYY)	Priority Not Claimed	Certified Copy Attached?	
				YES	NO
02/00423	FR	01/15/2002	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>
			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

☐ Additional foreign application numbers are listed on a supplemental priority sheet attached hereto.

I hereby claim the benefit under Title 35, United States Code §110(e) of any United States provisional application(s) listed below.

Application Number(s)	Filing Date (MM/DD/YYYY)	Additional provisional application numbers are listed on a supplemental priority sheet attached hereto.
		<input type="checkbox"/>

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C.2319 PCT/US

<b>DECLARATION</b>	<b>Page 2</b>
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I hereby claim the benefit under Title 35, United States Code §120 of any United States application(s), or §3650 of any PCT international application designating the United States of America, listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States or PCT international application in the manner provided by the first paragraph of Title 35, United States Code §112.1 acknowledge the duty to disclose information which is material to patentability as defined in Title 37, Code of Federal Regulations §1.56 which became available between the filing date of the prior application and the national or PCT international filing date of this application.

U.S. Parent Application Number	PCT Parent Number	Parent Filing Date (MM/DD/YYYY)	Parent Patent Number (if applicable)
	PCT/EP03/00066	01/07/2003	

☐ Additional U.S. or PCT international application numbers are listed on a supplemental priority sheet attached hereto.

As a named inventor, I hereby appoint the following attorney(s) and/or agent(s) to prosecute this application and to transact all business in the Patent and Trademark Office connected therewith:

☐ Firm Name  Customer Number  or label

OR

☒ List Attorney(s) and/or agent(s) name and registration number below:


Name	Registration Number	Name	Registration Number
Steven J. Trzaska	36,296		
Aaron R. Ettelman	42,516		

☐ Additional attorney(s) and/or agent(s) named on a supplemental sheet attached hereto.

Please direct all correspondence to: ☒ Customer Number  or label  **23657** OR ☐ Fill in correspondence address below

Name	Aaron R. Ettelman		
Address			
Address			
City	State	Zip	
Country	Telephone	215-628-1413	Fax 215-628-1345

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

Name of Sole or First Inventor:		<input type="checkbox"/> A petition has been filed for this unsigned inventor	
Given Name	Philippe	Middle Initial	
Family Name	Moussou	Suffix e.g. Jr.	
Inventor's Signature			Date 26 May 2004
Residence: City	Nancy	State	
Country	France	Citizenship	France
Post Office Address	14, rue de Marsal		
Post Office Address			
City	54000 Nancy	State	
Country	France	Applicant Authority	

☒ Additional inventors are being named on supplemental sheet(s) attached hereto

Type a plus sign (+) inside this box - ☐

C 2319 PCT/US

Name of Additional Joint Inventor, if any:										<input type="checkbox"/> A petition has been filed for this unsigned inventor		
Given Name	Louis			Middle Initial		Family Name	Danoux			Suffix e.g. Jr.		
Inventor's Signature							Date	26 mai 2004				
Residence: City	Saulxures Les Nancy			State		Country	France			Citizenship	France	
Post Office Address	12, rue de Bretagne											
Post Office Address												
City	54420 Saulxures Les Nancy			State		Zip		Country	France		Applicant Authority	
Name of Additional Joint Inventor, if any:										<input type="checkbox"/> A petition has been filed for this unsigned inventor		
Given Name	Gilles			Middle Initial		Family Name	Pauly			Suffix e.g. Jr.		
Inventor's Signature							Date	26 May 04				
Residence: City	Nancy			State		Country	France			Citizenship	France	
Post Office Address	5, rue de Begonias											
Post Office Address												
City	54000 Nancy			State		Zip		Country	France		Applicant Authority	
Name of Additional Joint Inventor, if any:										<input type="checkbox"/> A petition has been filed for this unsigned inventor		
Given Name				Middle Initial		Family Name				Suffix e.g. Jr.		
Inventor's Signature							Date					
Residence: City				State		Country				Citizenship		
Post Office Address												
Post Office Address												
City				State		Zip		Country			Applicant Authority	
Name of Additional Joint Inventor, if any:										<input type="checkbox"/> A petition has been filed for this unsigned inventor		
Given Name				Middle Initial		Family Name				Suffix e.g. Jr.		
Inventor's Signature							Date					
Residence: City				State		Country				Citizenship		
Post Office Address												
Post Office Address												
City				State		Zip		Country			Applicant Authority	

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